

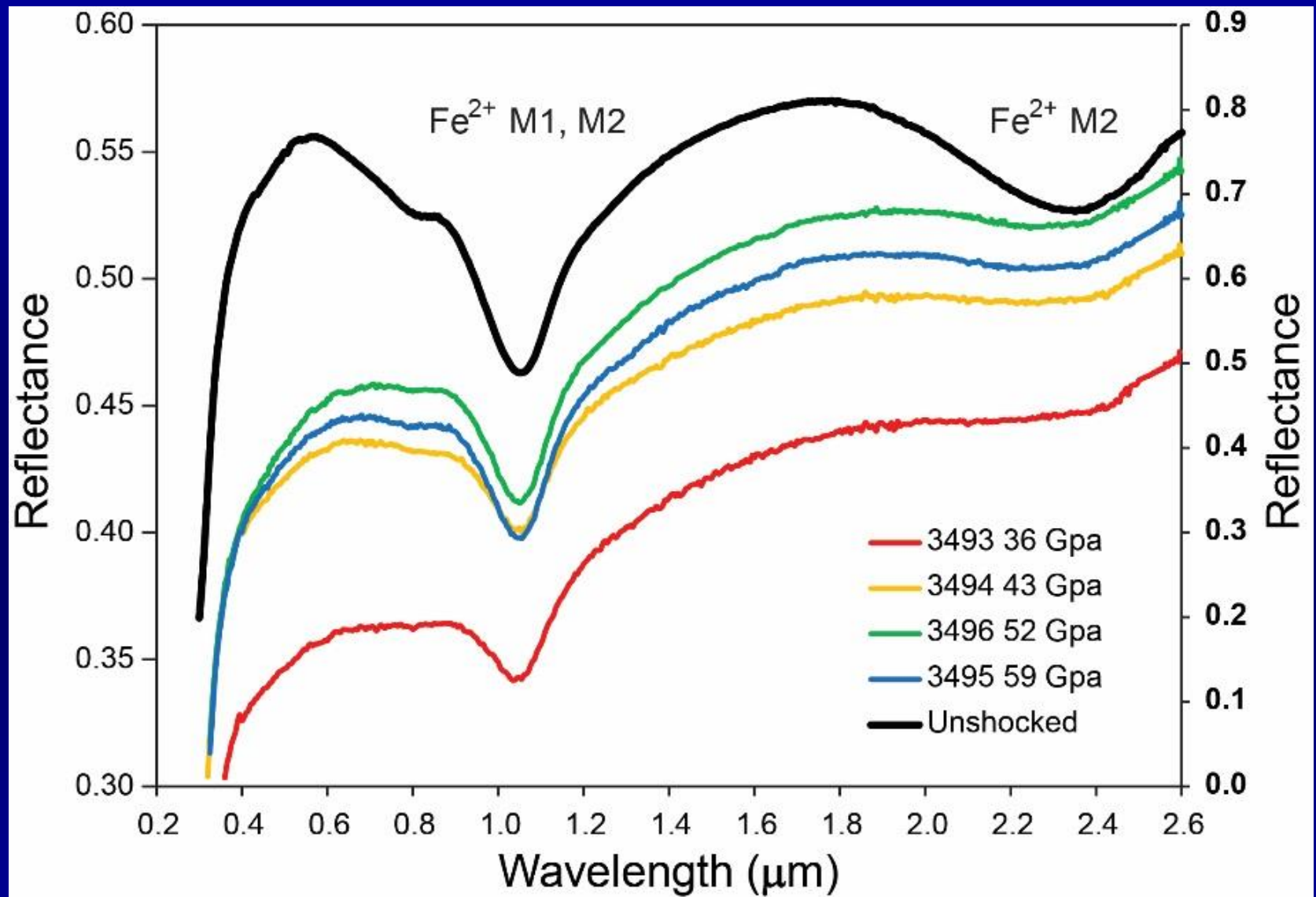


The Future of Spectroscopy

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The Holy Grail: Accurate Mineralogy Derived from Spectroscopy



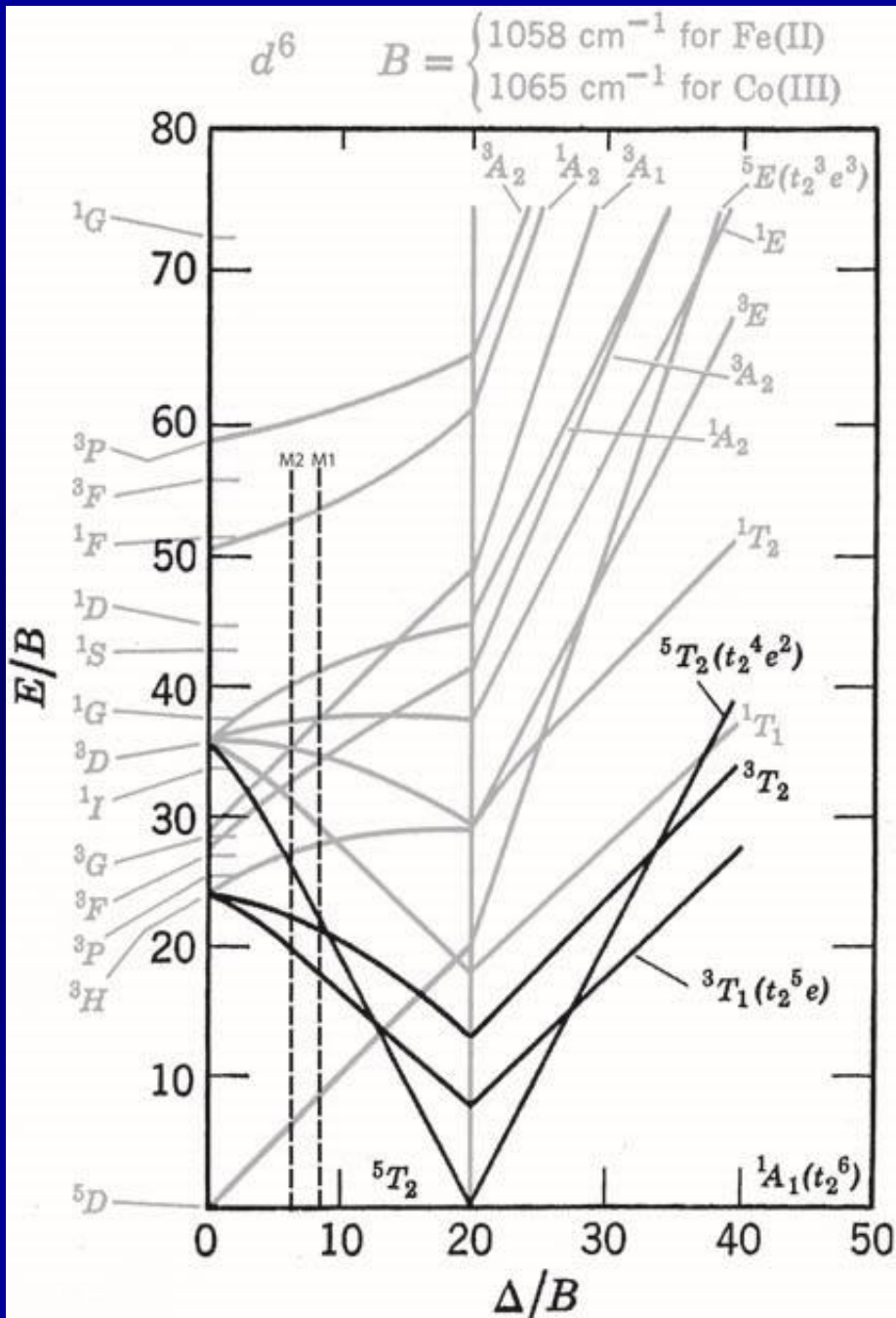


McCanta et al. (2016) Icarus, submitted

Table 1. CRISM Spectral Parameter Summary Products^a

Name	Parameter	Formulation ^b	Rationale
<i>Surface Parameters^c</i>			
R770	0.77 μm reflectance	R770	rock/dust
RBR	red/blue ratio	R770/R440	rock/dust
BD530	0.53 μm band depth	$1 - (R530/(a \cdot R648 + b \cdot R440))$	crystalline ferric minerals
SH600	0.60 μm shoulder height	$R600/(a \cdot R530 + b \cdot R680)$	select ferric minerals
BD640	0.64 μm band depth	$1 - (R648/(a \cdot R600 + b \cdot R680))$	select ferric minerals
BD860	0.86 μm band depth	$1 - (R860/(a \cdot R800 + b \cdot R920))$	select ferric minerals
RPEAK1	reflectance peak 1	wavelength where 1st derivative = 0 of 5th order polynomial fit to R600, R648, R680, R710, R740, R770, R800, R830	Fe mineralogy
BDI1000VIS	1 μm integrated band depth; VIS wavelengths	divide R830, R860, R890, R920 by RPEAK1 then integrate over (1 – normalized reflectances)	Fe mineralogy
BDI1000IR	1 μm integrated band depth; IR wavelengths	divide R950, R980, R1020, R1050, R1080, R1150 by linear fit from peak R between 1.3–1.87 μm to R2530 extrapolated backward to remove continuum, then integrate over (1 – continuum-corrected reflectances)	Fe mineralogy
IRA	1.3 μm reflectance	R1330	IR albedo
OLINDEX	Olivine index	$(R1695/(0.1 \cdot R1050 + 0.1 \cdot R1210 + 0.4 \cdot R1330 + 0.4 \cdot R1470)) - 1$	olivine will be strongly positive; based on fayalite
LCPINDEX	pyroxene index	$((R1330 - R1050)/(R1330 + R1050))$ $\cdot ((R1330 - R1815)/(R1330 + R1815))$	pyroxene will be strongly positive; favors LCP
HCPXINDEX	pyroxene index	$((R1470 - R1050)/(R1470 + R1050))$ $\cdot ((R1470 - R2067)/(R1470 + R2067))$	pyroxene will be strongly positive; favors HCP
VAR	spectral variance	variance of observed data from a line fit from 1.0–2.3 μm	olivine and pyroxene will have high values
ISLOPE1	–1 * spectral slope1	$(R1815 - R2530)/(2530 - 1815)$	ferric coating on dark rock
BD1435	1.435 μm band depth	$1 - (R1430/(a \cdot R1370 + b \cdot R1470))$	CO ₂ ice

Pelkey, S. M., et al. (2007), CRISM multispectral summary products: Parameterizing mineral diversity on Mars from reflectance, J. Geophys. Res., 112, E08S14, doi:10.1029/2006JE002831.



Spectroscopy
+
Machine
Learning
=
Better
Spectroscopy



Chemometrics is an interdisciplinary field combining experimental design, physical-chemical measurements, multivariate statistical analysis, mathematical modeling, and information technology for extracting useful information from data.

...Journal of Chemometrics



Chemometric Approaches to:

- A. Multivariate analysis
- B. X-ray absorption spectroscopy
- C. Laser-induced breakdown spectroscopy
- D. Baseline removal
- E. Calibration transfer
- F. Data preprocessing

Most Basic Technique for Multivariate Analysis

Partial Least Squares (PLS)

- Shrink regression equation by creating hybrid channels that are linear combinations of all previous channels.
- Correlate two matrices described by $Y = X b$:
 - Spectra (X) (p samples \times N channels)
 - Variable(s) of interest (Y)
- **This removes co-linearity** because directions in that new vector space are ortho-normal, avoiding the problem that inhibits ordinary least-squares regression.
- PLS analysis thus produces b -coefficients for each channel that represent the correlation implicit in b .

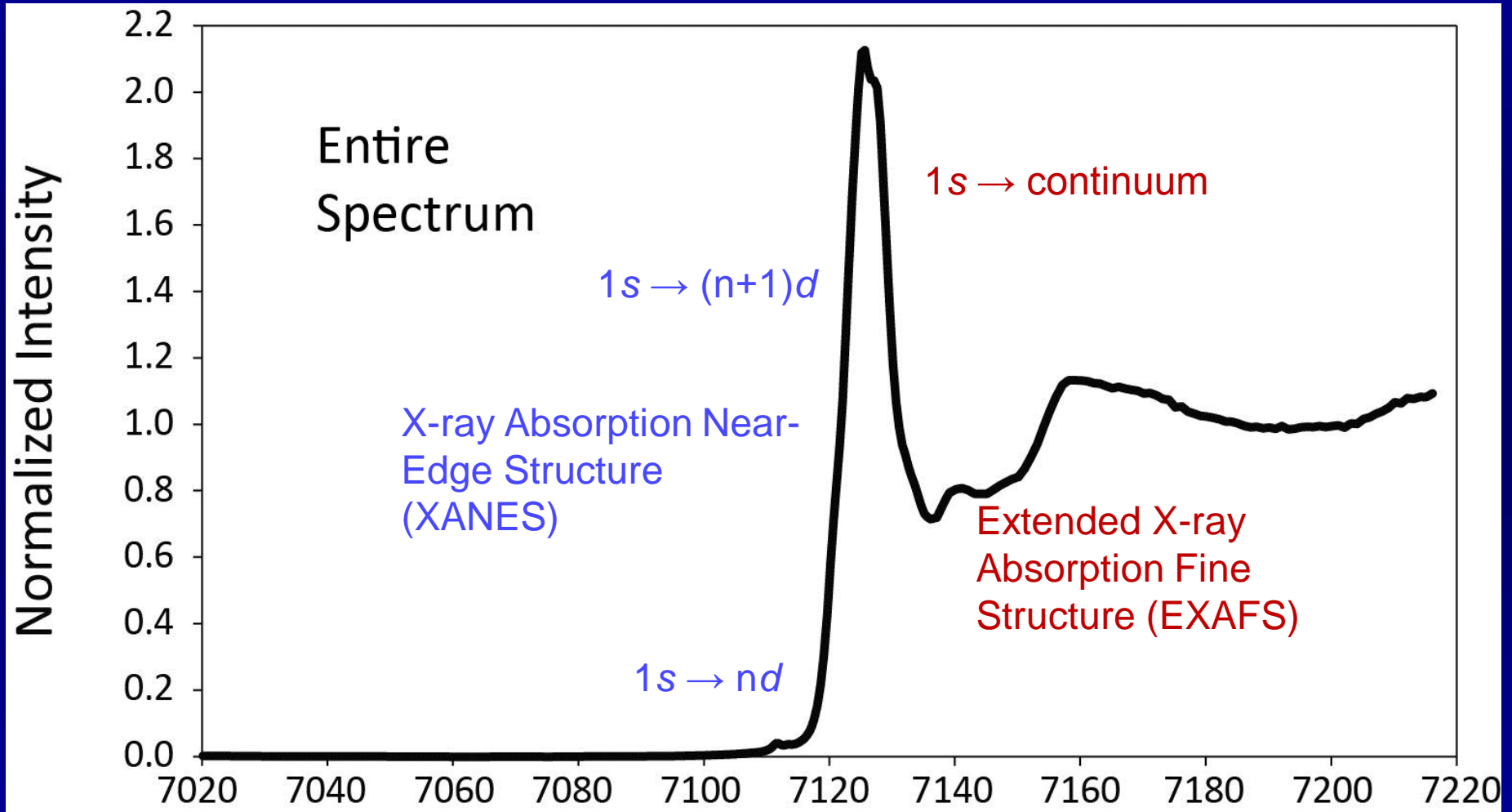
Most Basic Technique for Multivariate Analysis

Partial Least Squares (PLS)

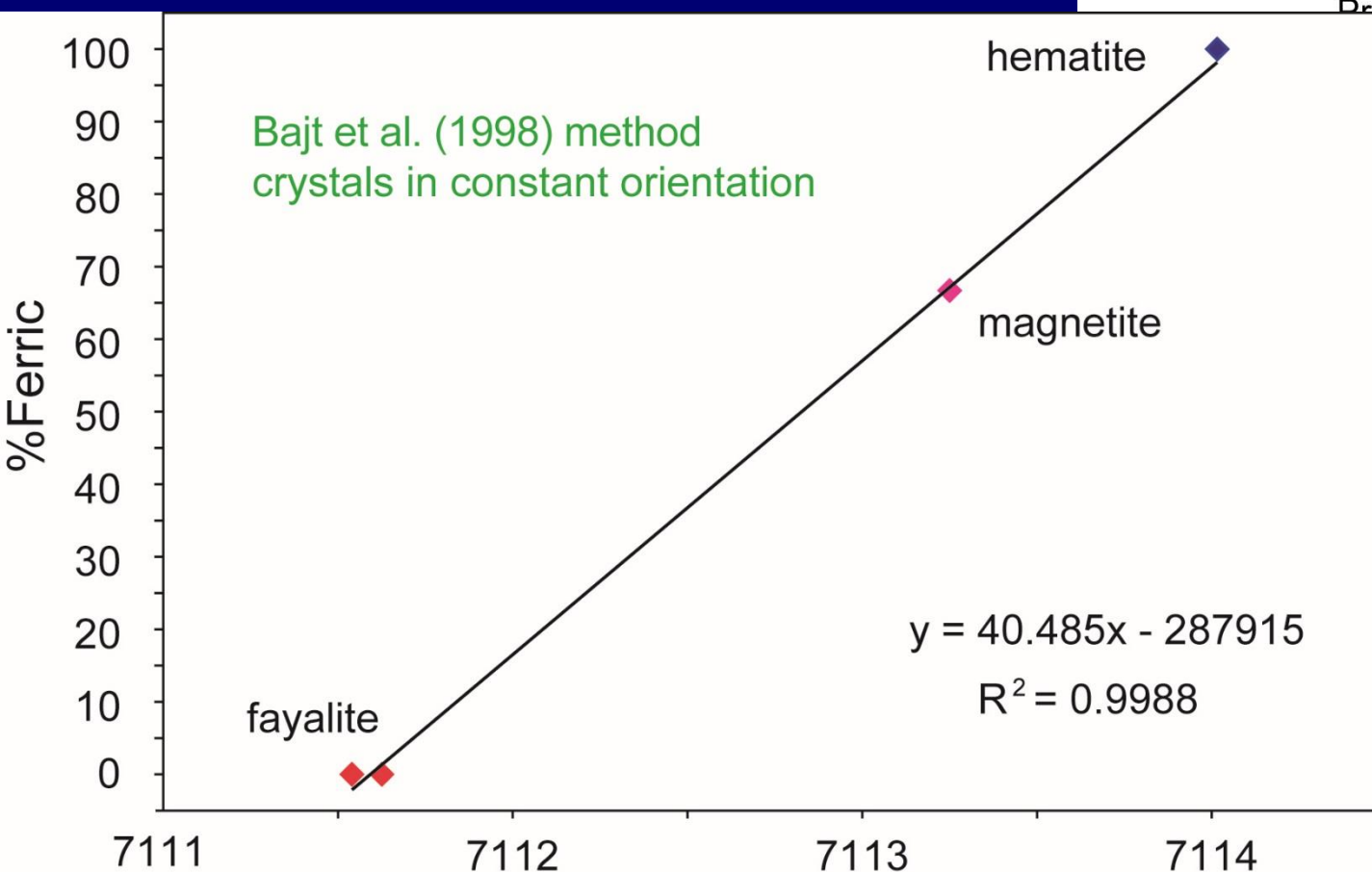
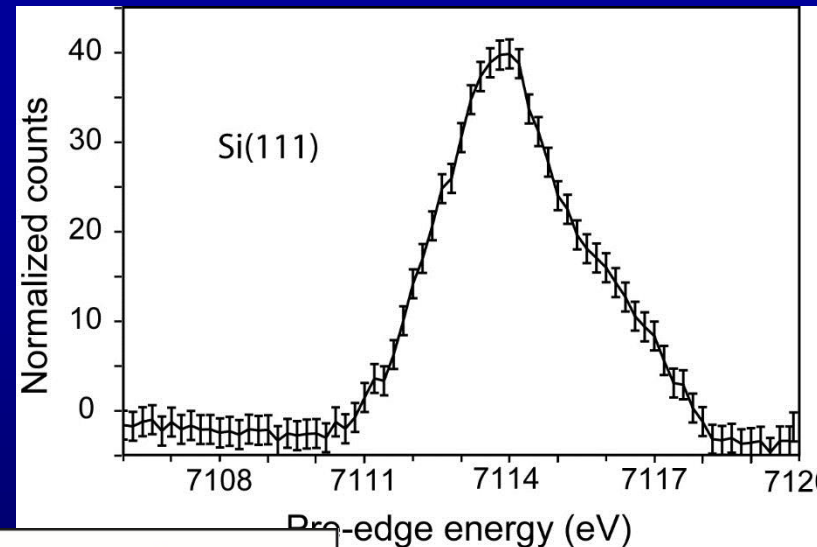
Each Spectral Channel is an Independent Variable
Prediction Quantities (elements, mineralogy,
 $\%Fe^{3+}$) are the Dependent Variable(s)

	Fe^{3+}	λ_1 (keV)	λ_2 (keV)	λ_3 (keV)	λ_4 (keV)	λ_5 (keV)	λ_6 (keV)	λ_7 (keV)	λ_8 (keV)	λ_9 (keV)	λ_{10} (keV)	λ_n (keV)
Sample 1													
Sample 2													
Sample 3													
Sample 4													
Sample 5													
...													
Sample n													

Example #1: X-ray Absorption Spectroscopy

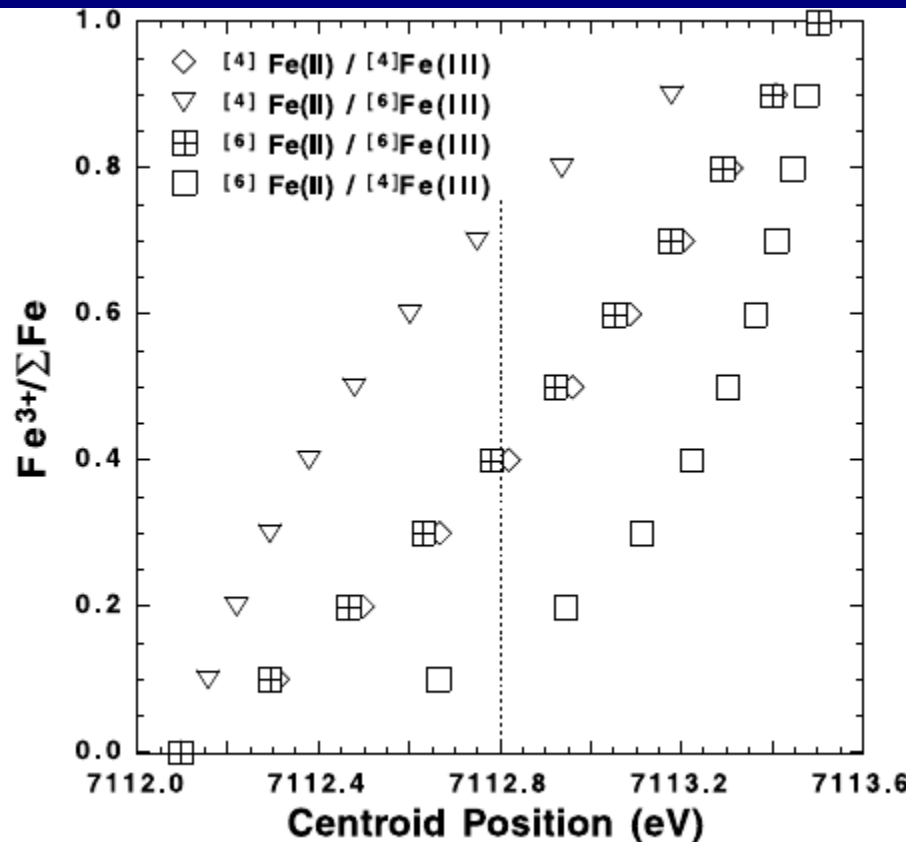
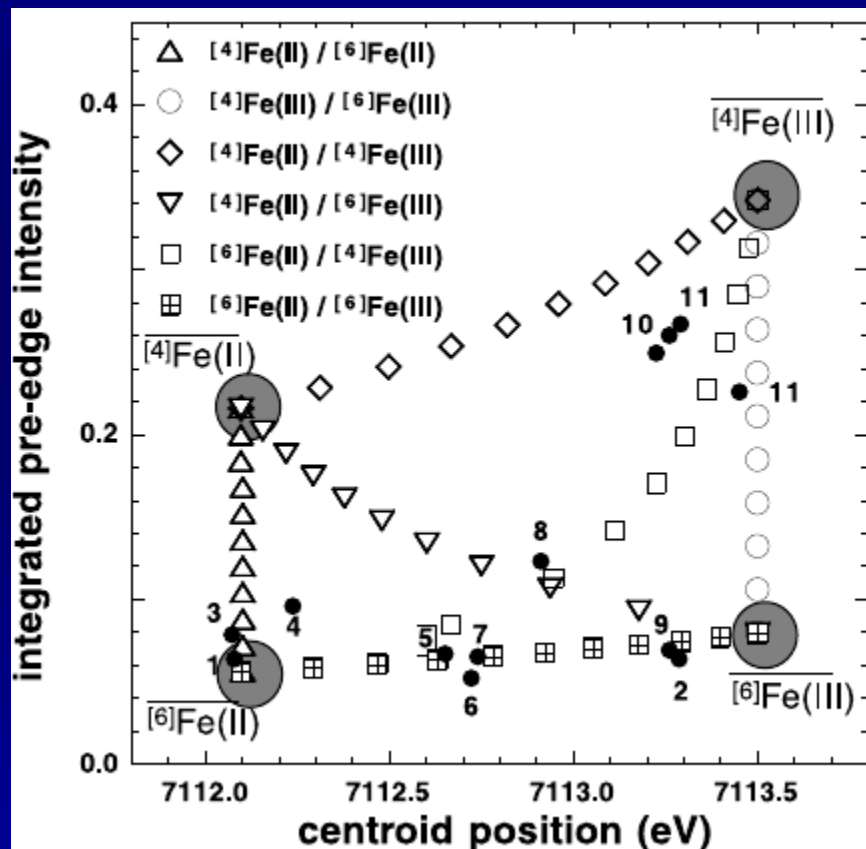
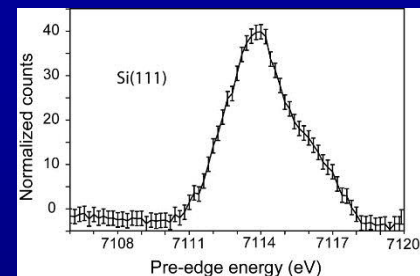


Using XAS to measure redox state of Fe



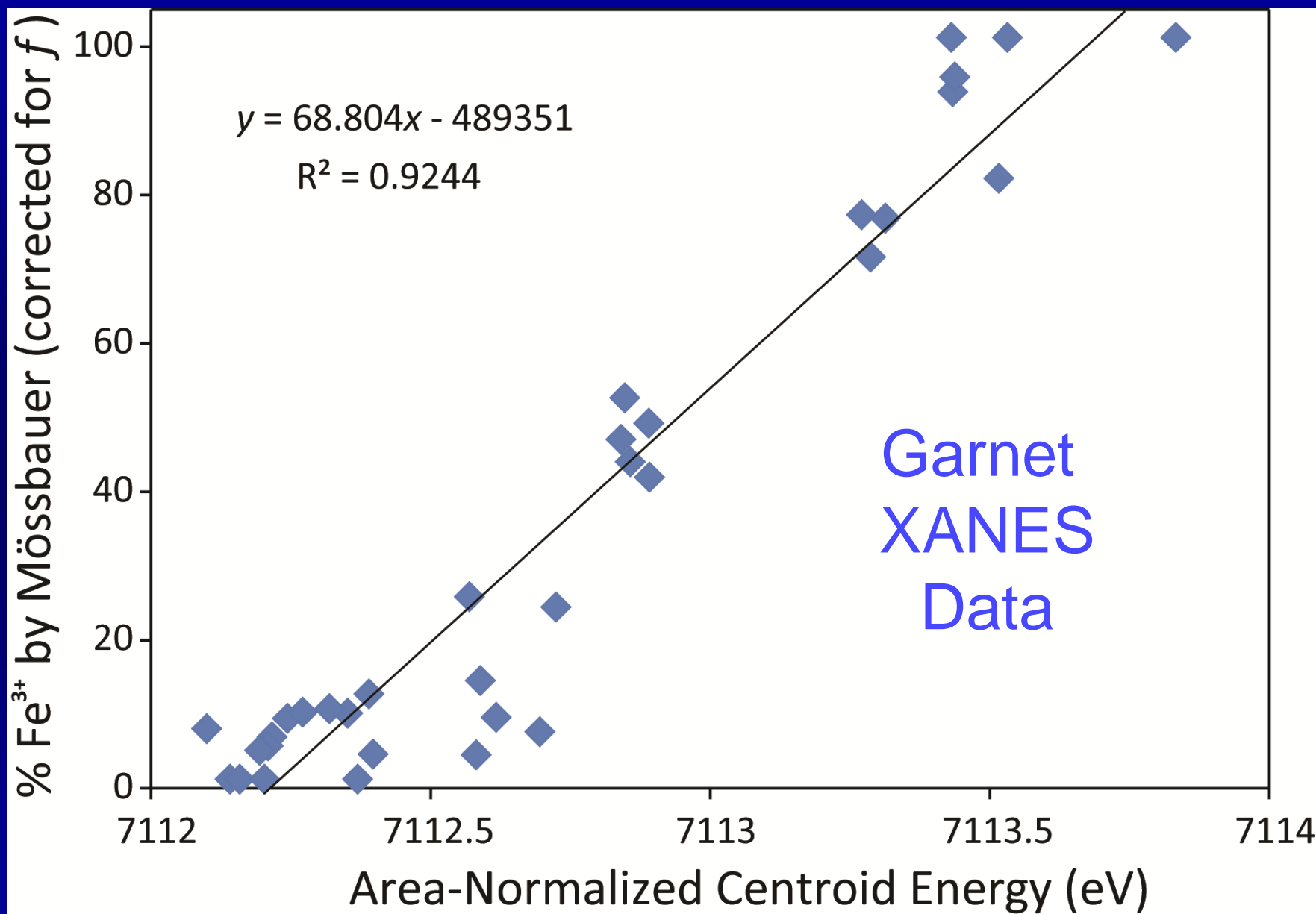
**Bajt et al.
(1994):**
Centroid of
pre-edge
moves with
valence state

Fe XANES Data used to Predict %Fe³⁺ in Powders using XANES Pre-Edge

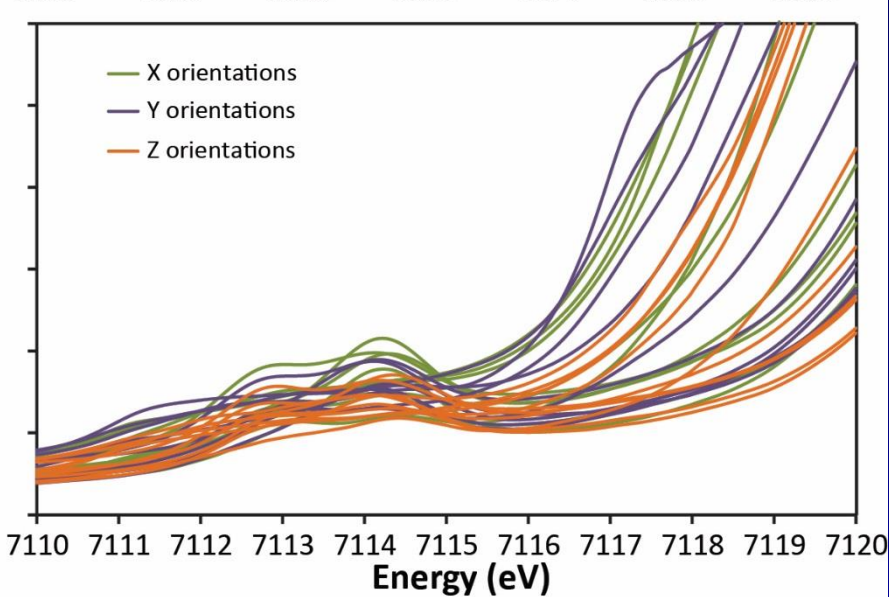
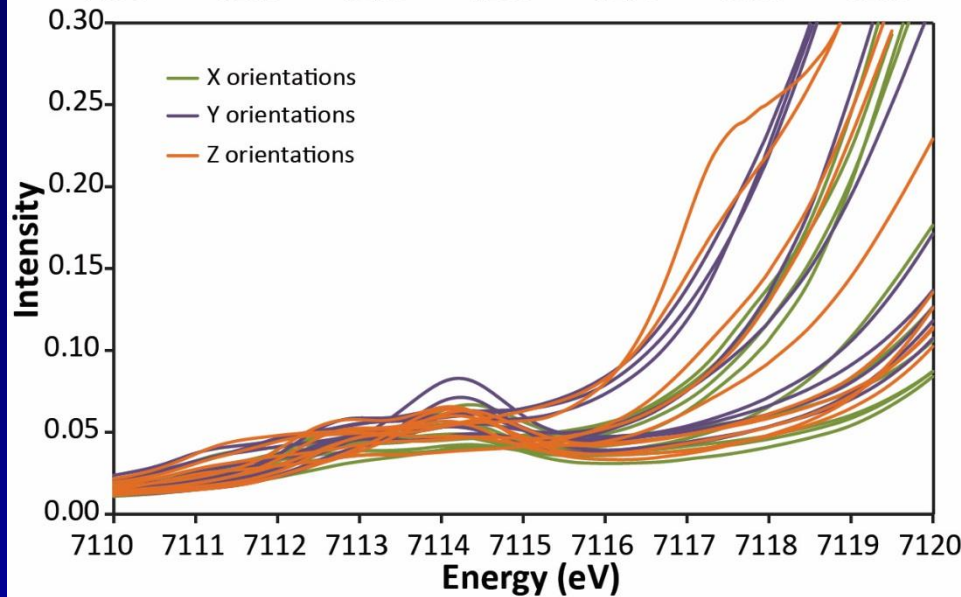
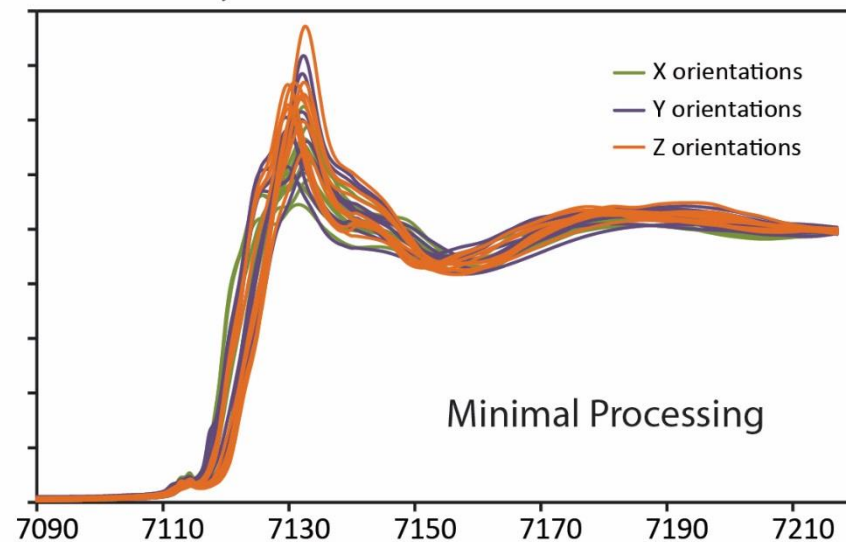
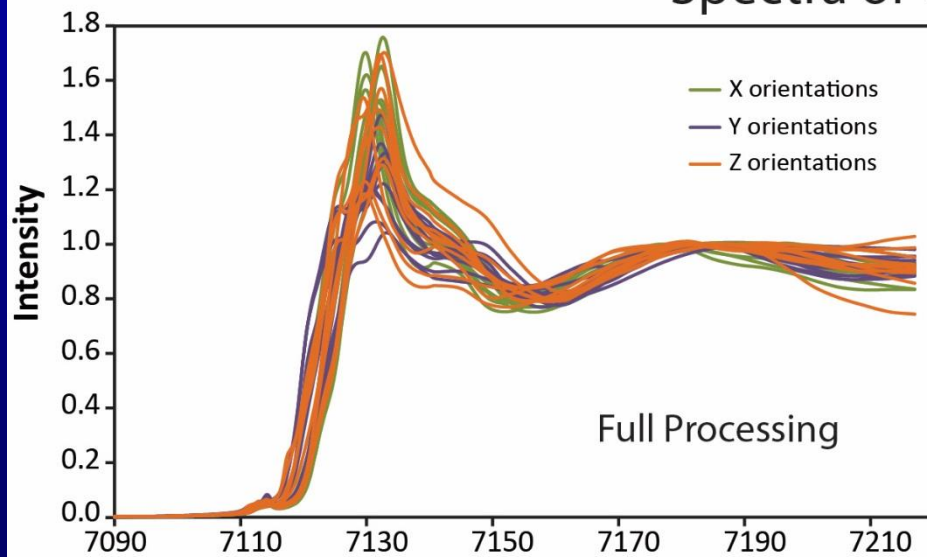


Wilke et al. (2001) *Am. Min.* 86, 714-730, calibration for powders

B. XAS Example

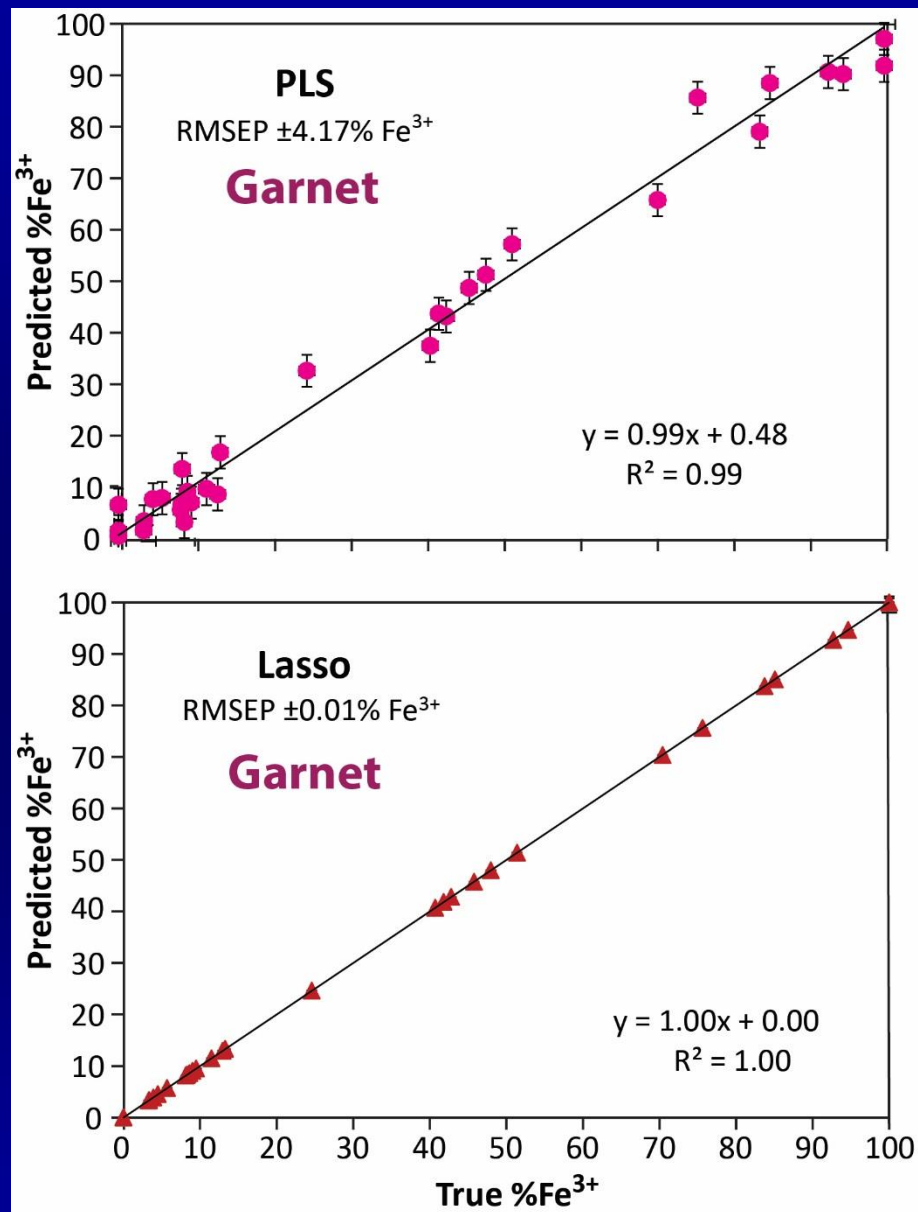
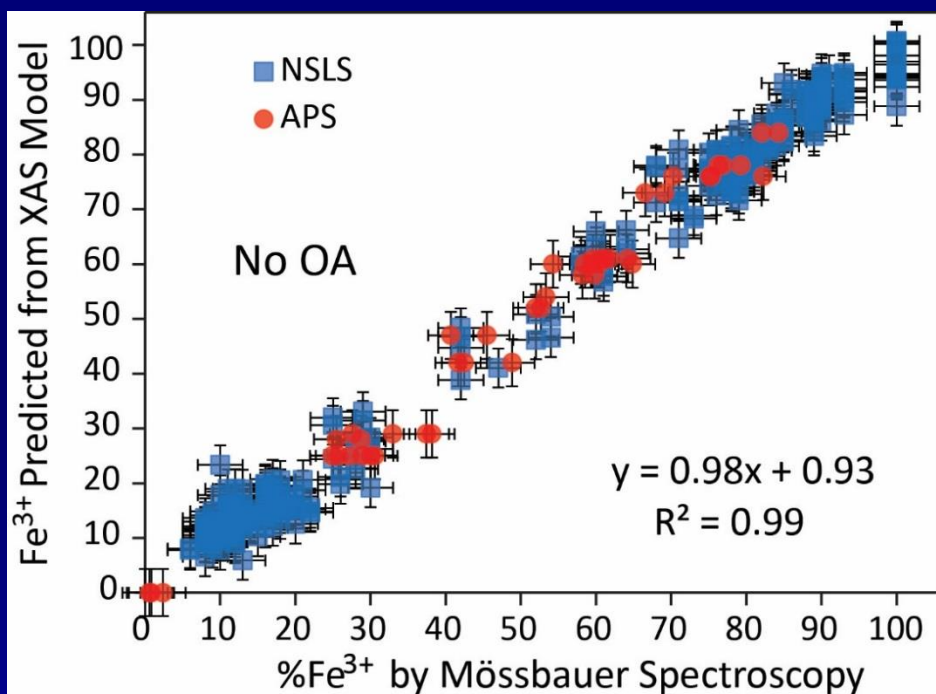


Spectra of Oriented Crystals



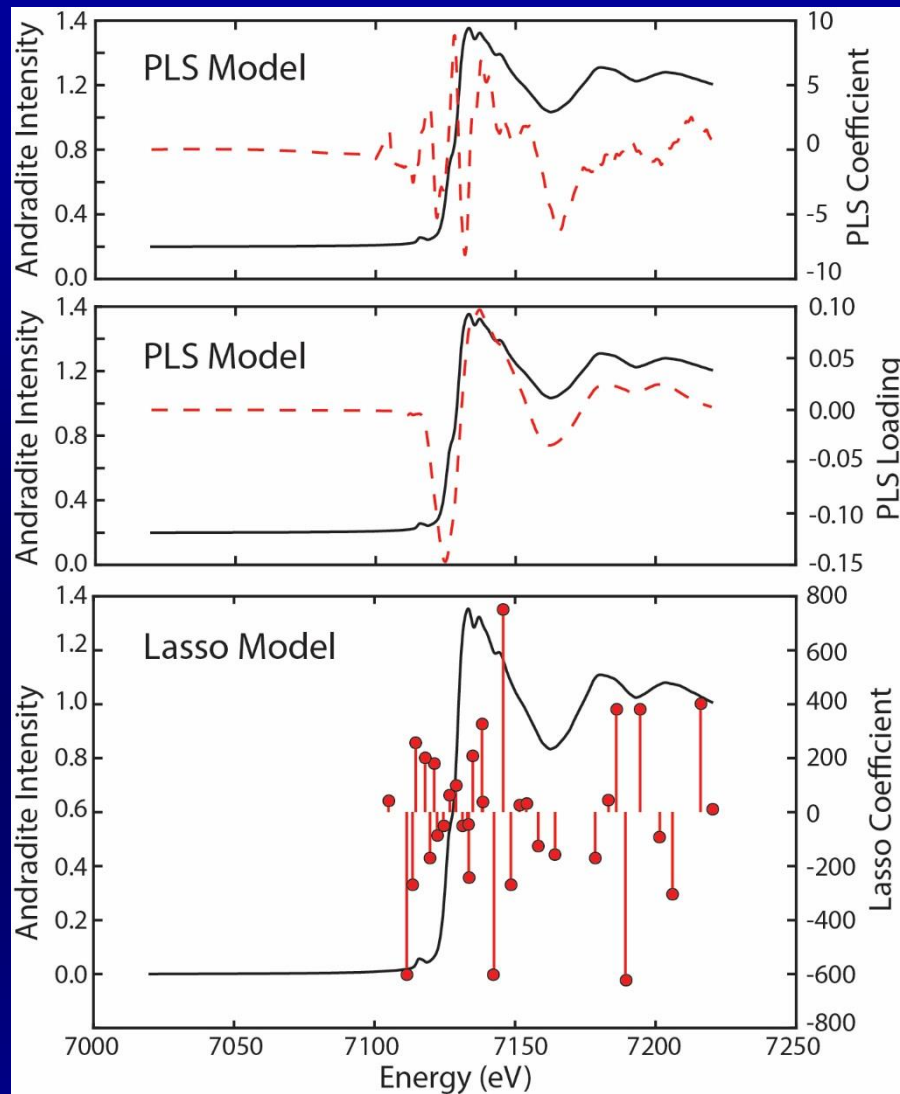


Multivariate Predictions of Fe^{3+} in Silicate Glass and Garnet

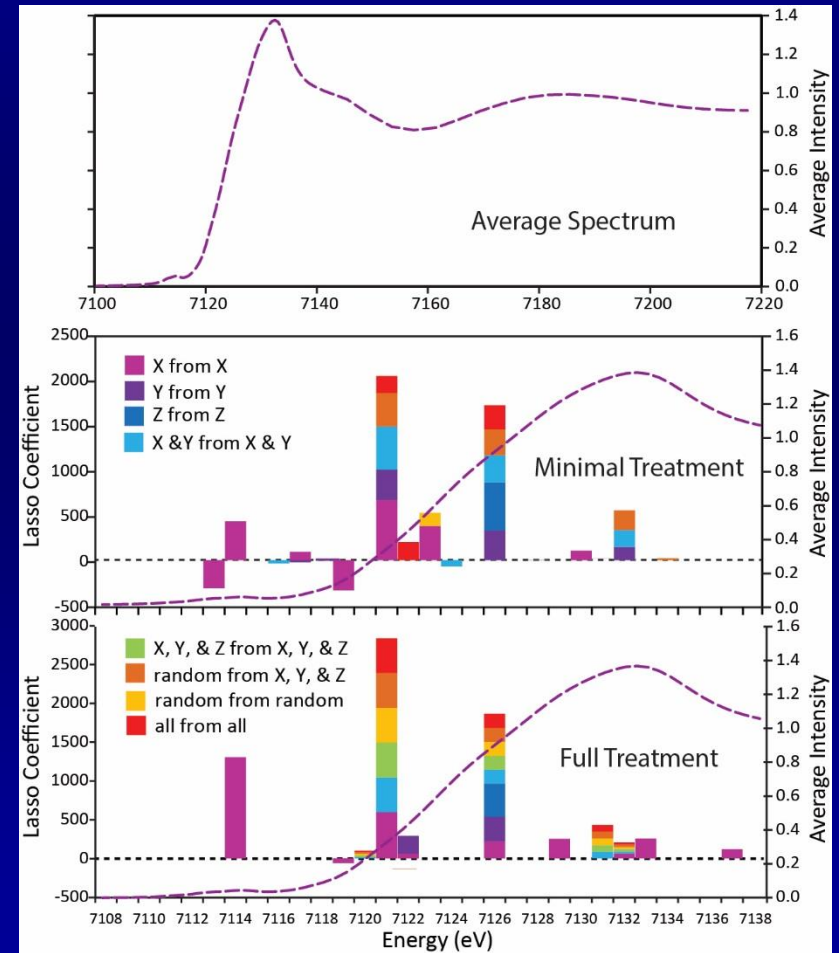


Identification of Key Predictive Channels

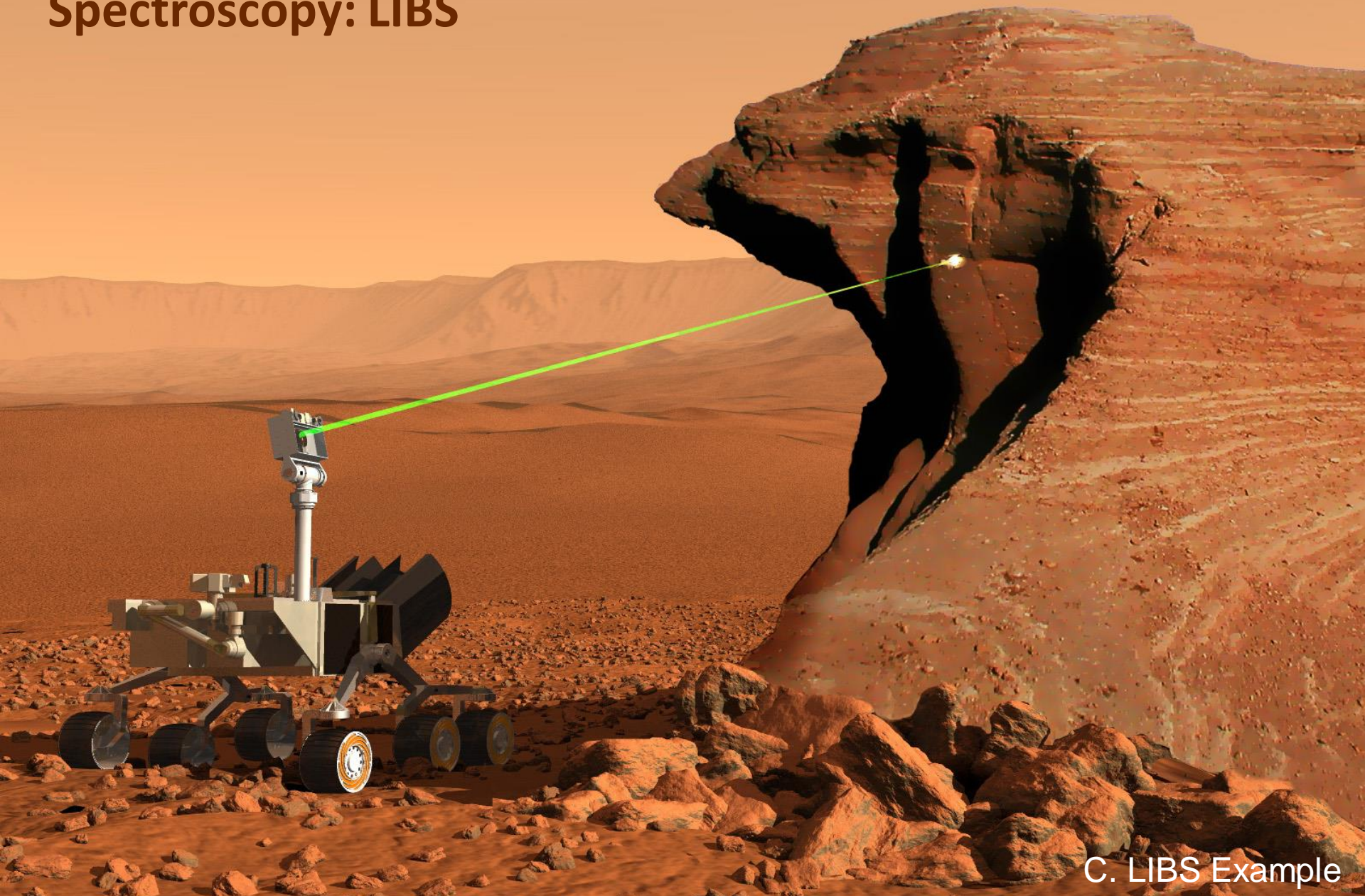
Amphibole XAS Data



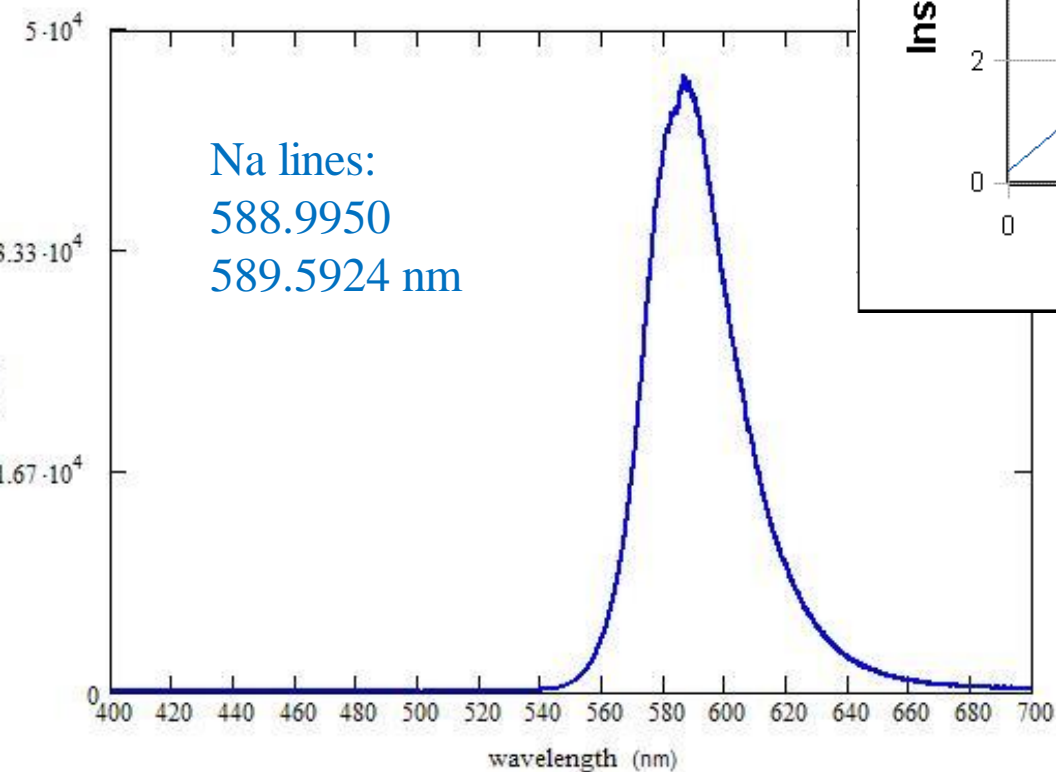
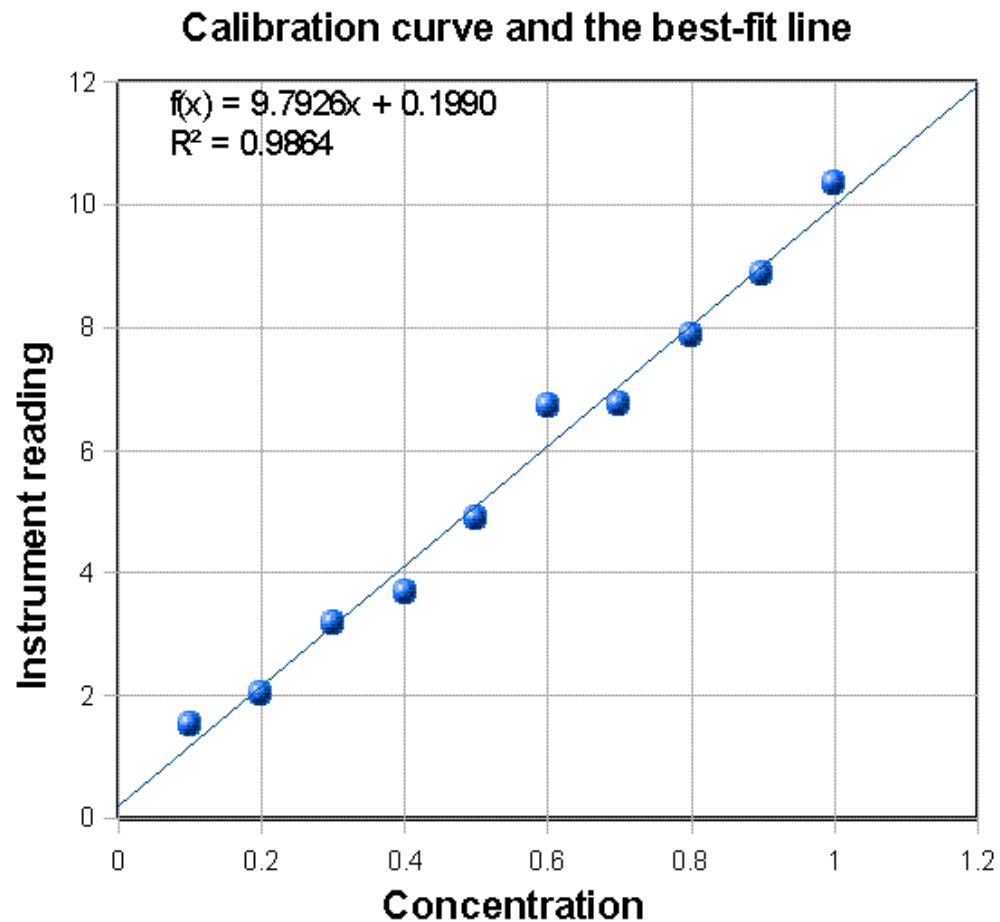
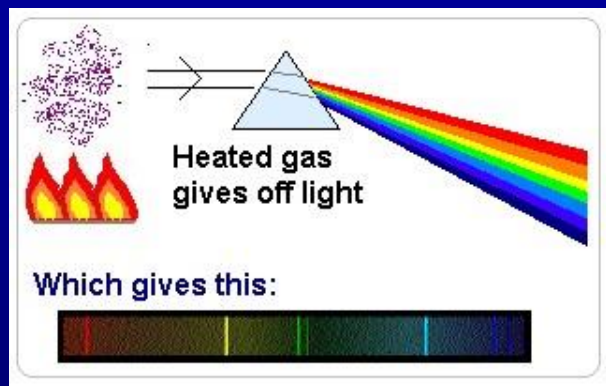
Garnet XAS Data



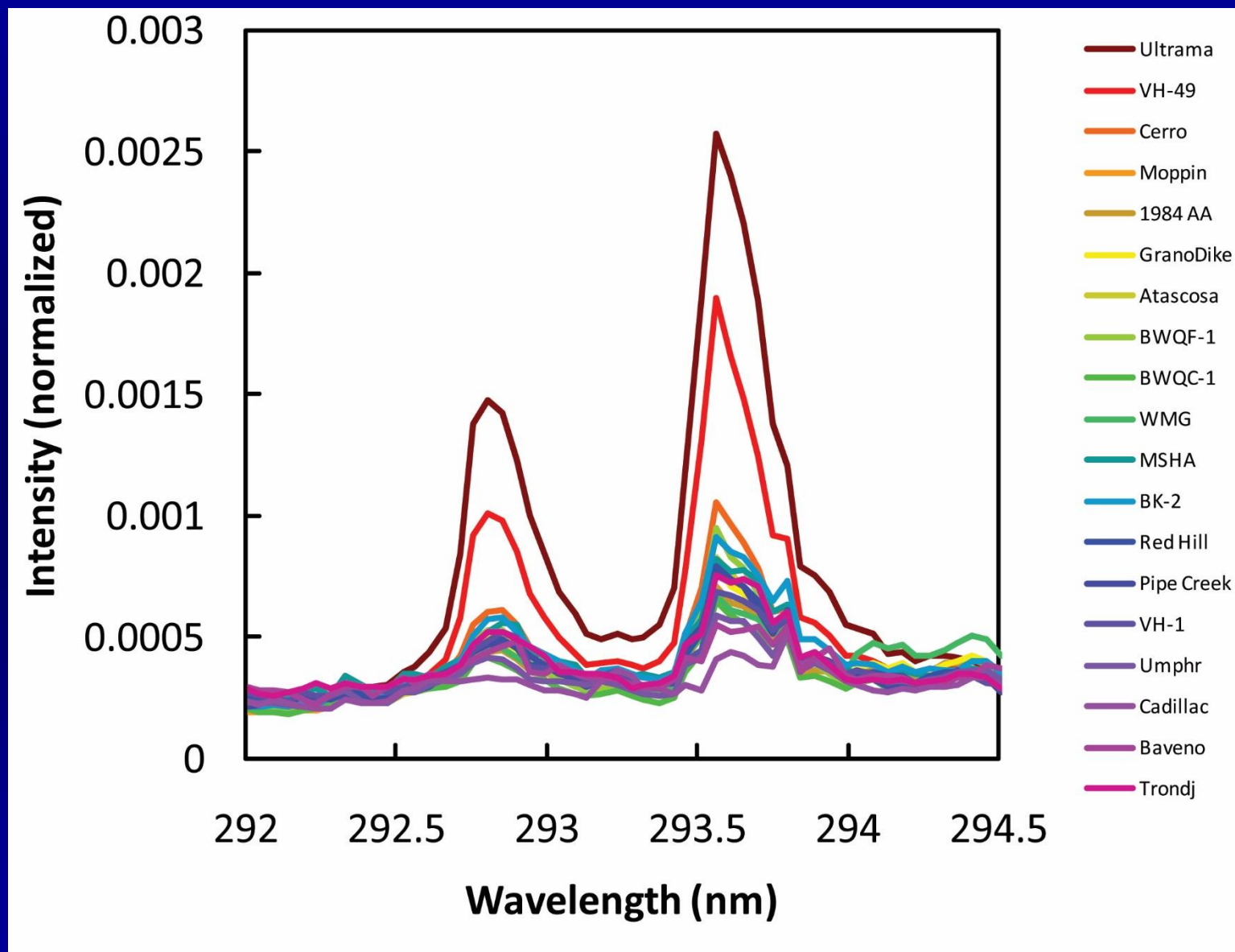
Laser-Induced Breakdown Spectroscopy: LIBS

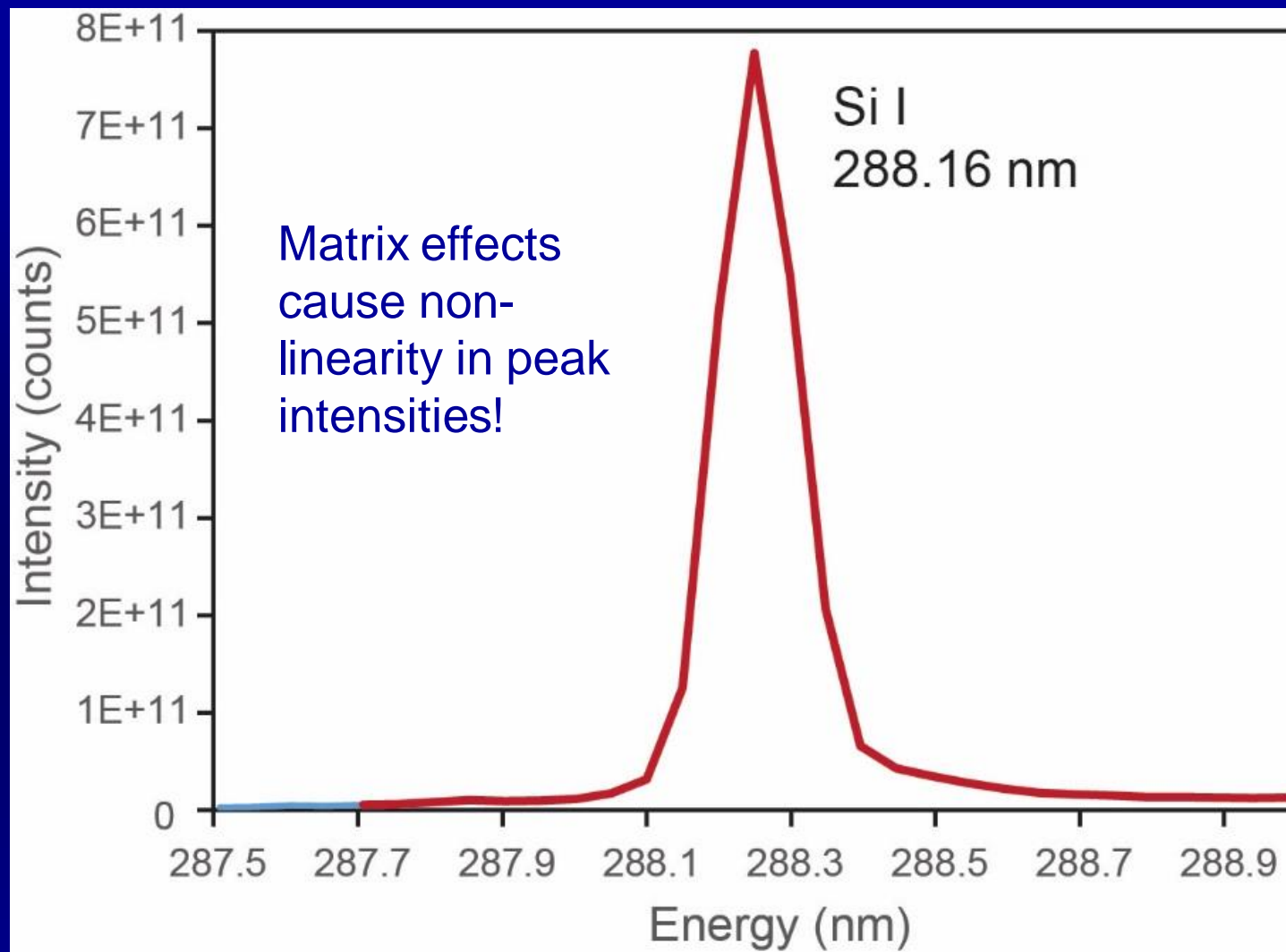


C. LIBS Example



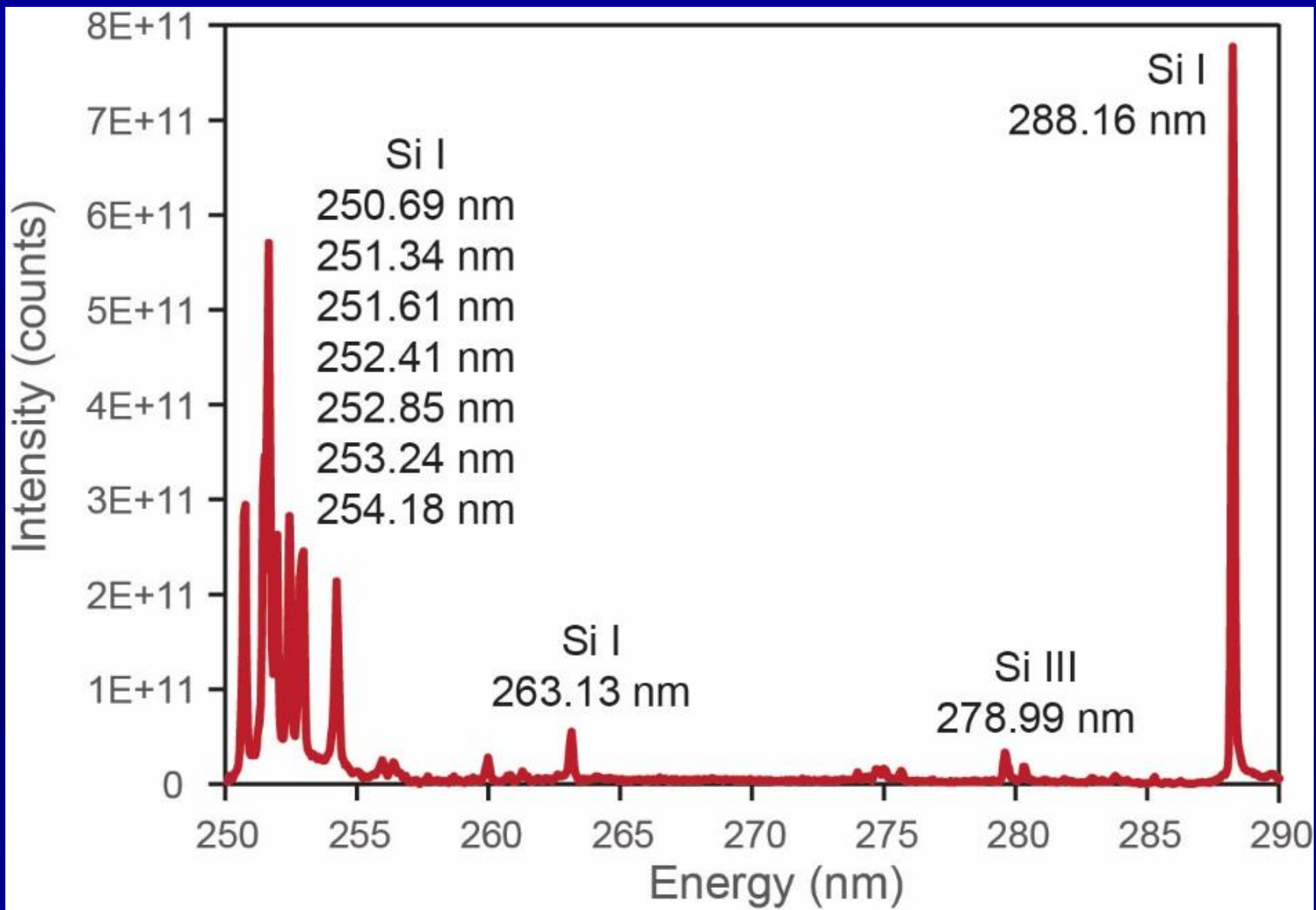
LIBS Challenge for Geological Samples: Matrix Effects!





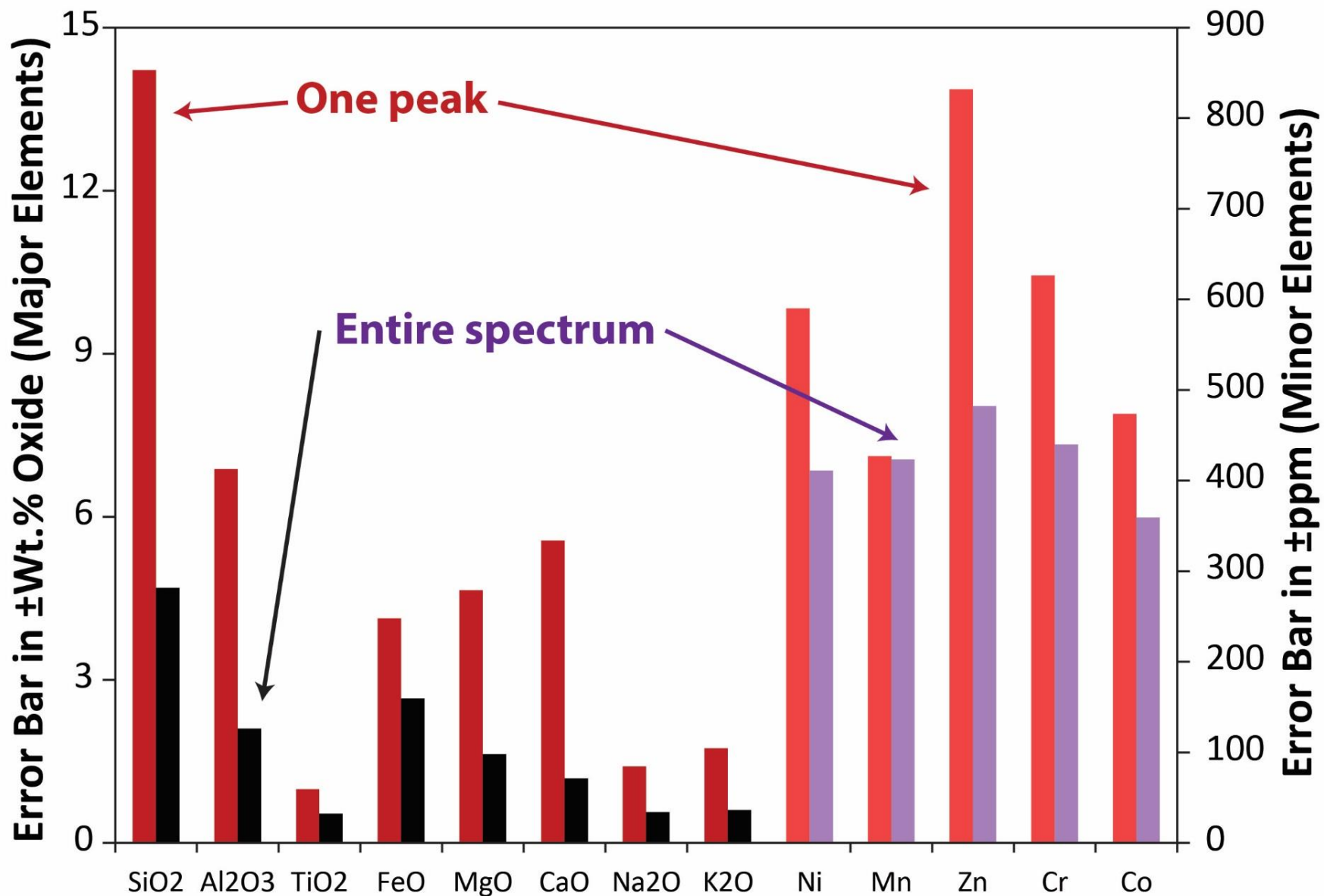
Prediction of SiO₂ contents of LIBS standards (1354 samples, 3 plasma temperatures, Mars conditions) using this single Si I emission line is:

±13.76 wt.% SiO₂

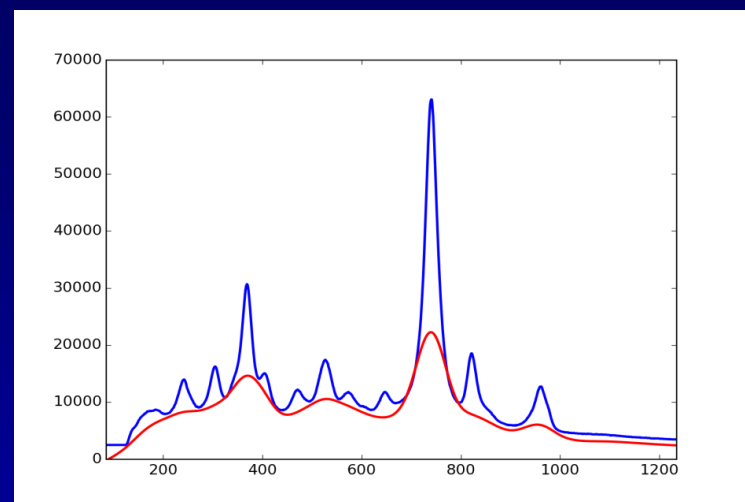
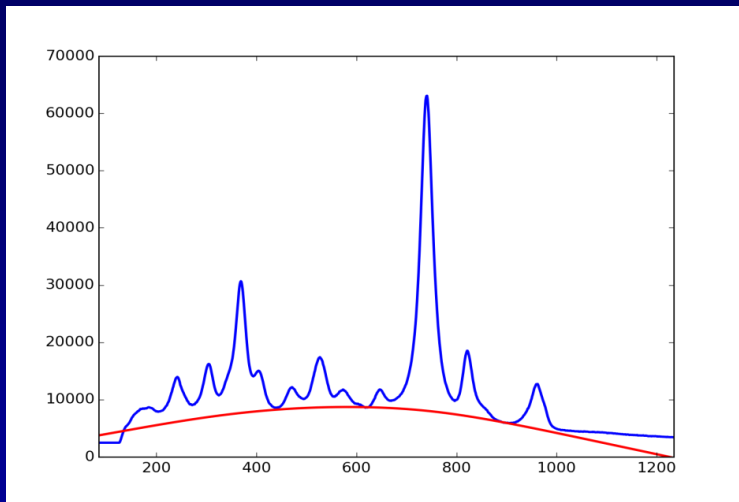
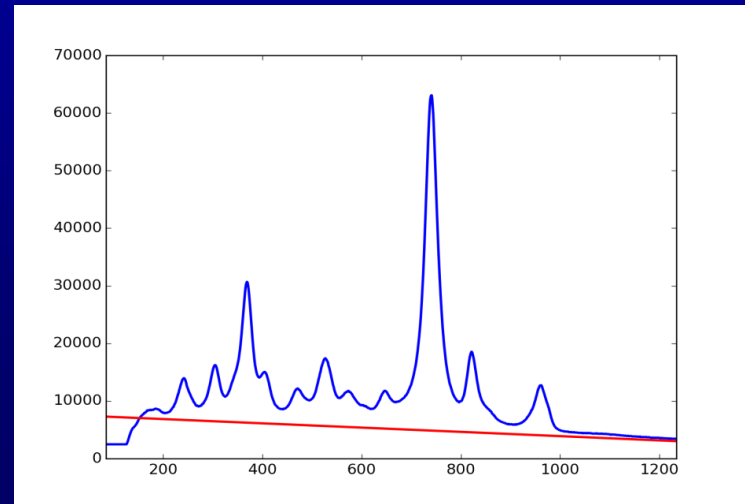
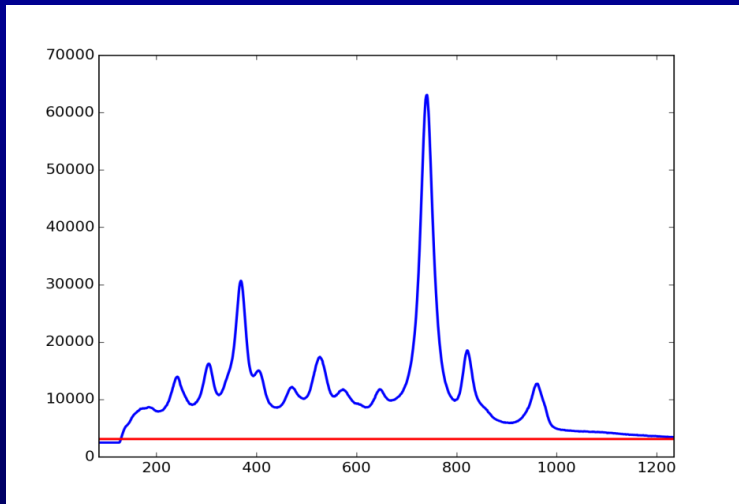


Prediction of SiO₂ using all channels of this spectrum is: **±4.69 wt.% SiO₂**

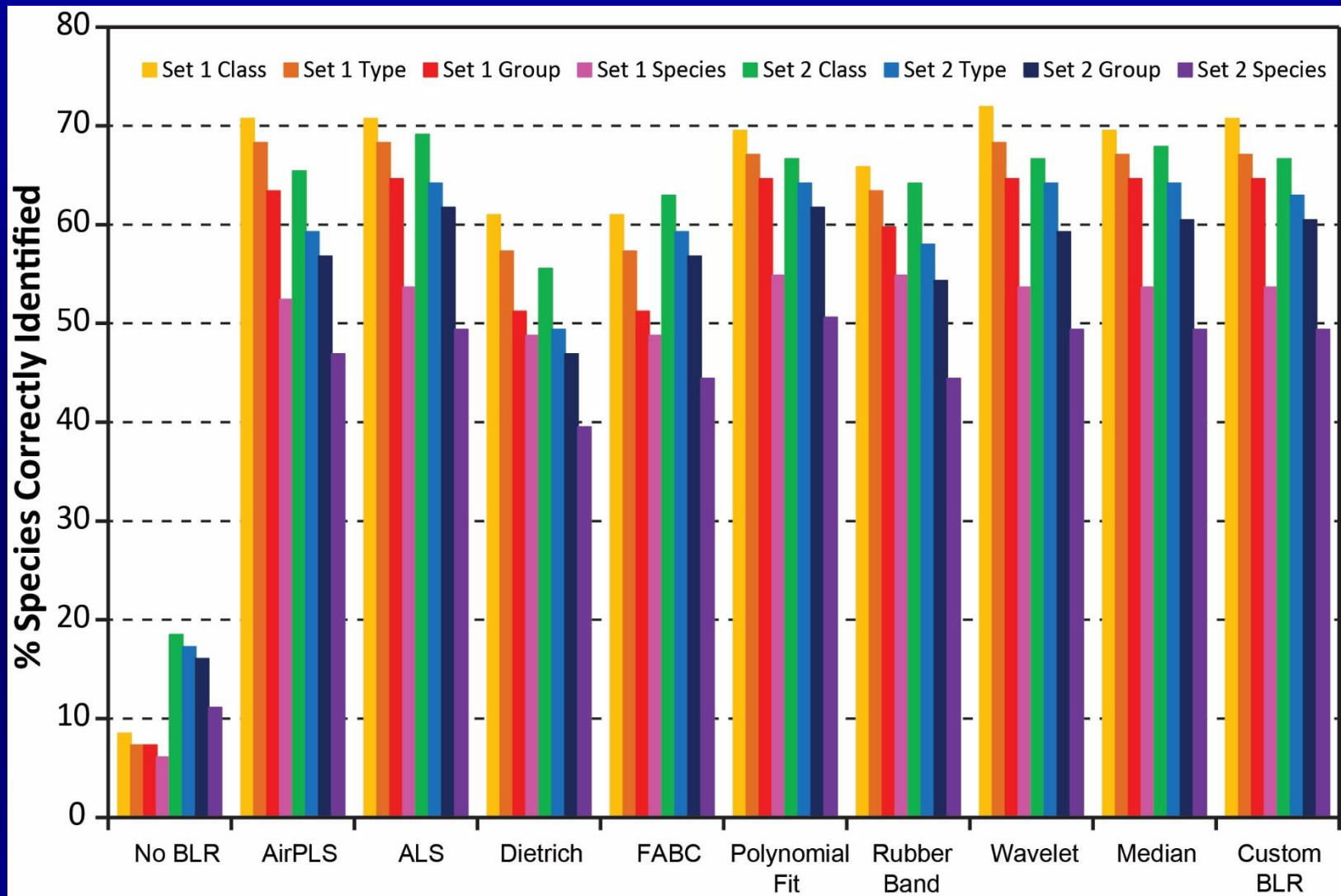
LIBS Prediction Accuracy Using One Peak vs. Entire Spectrum



Chemometrics (Machine Learning) gives us answers to vexing problems...

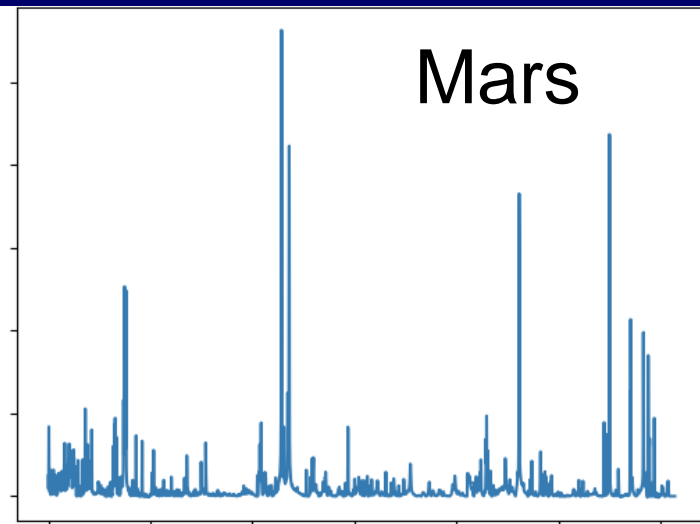
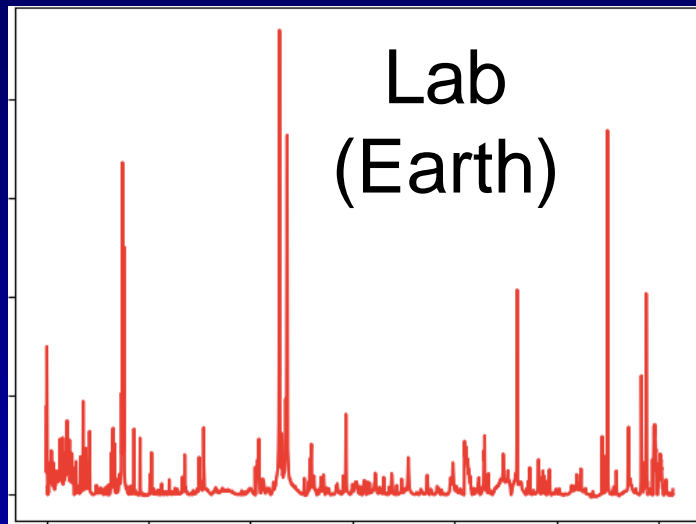
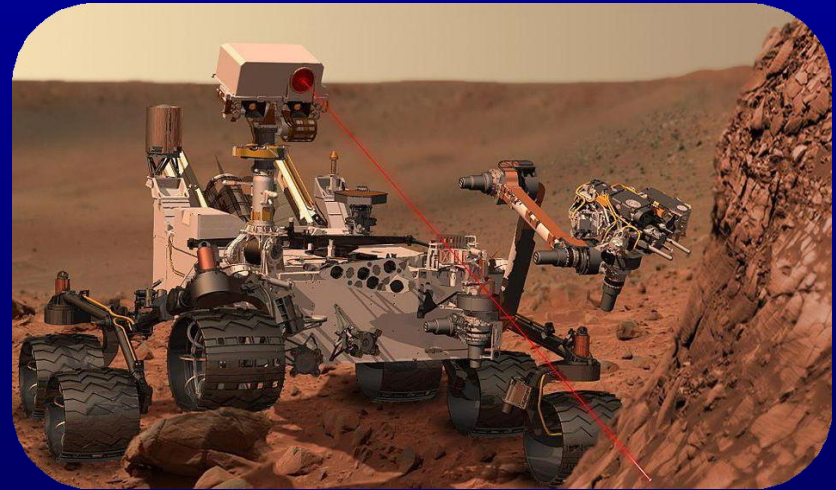


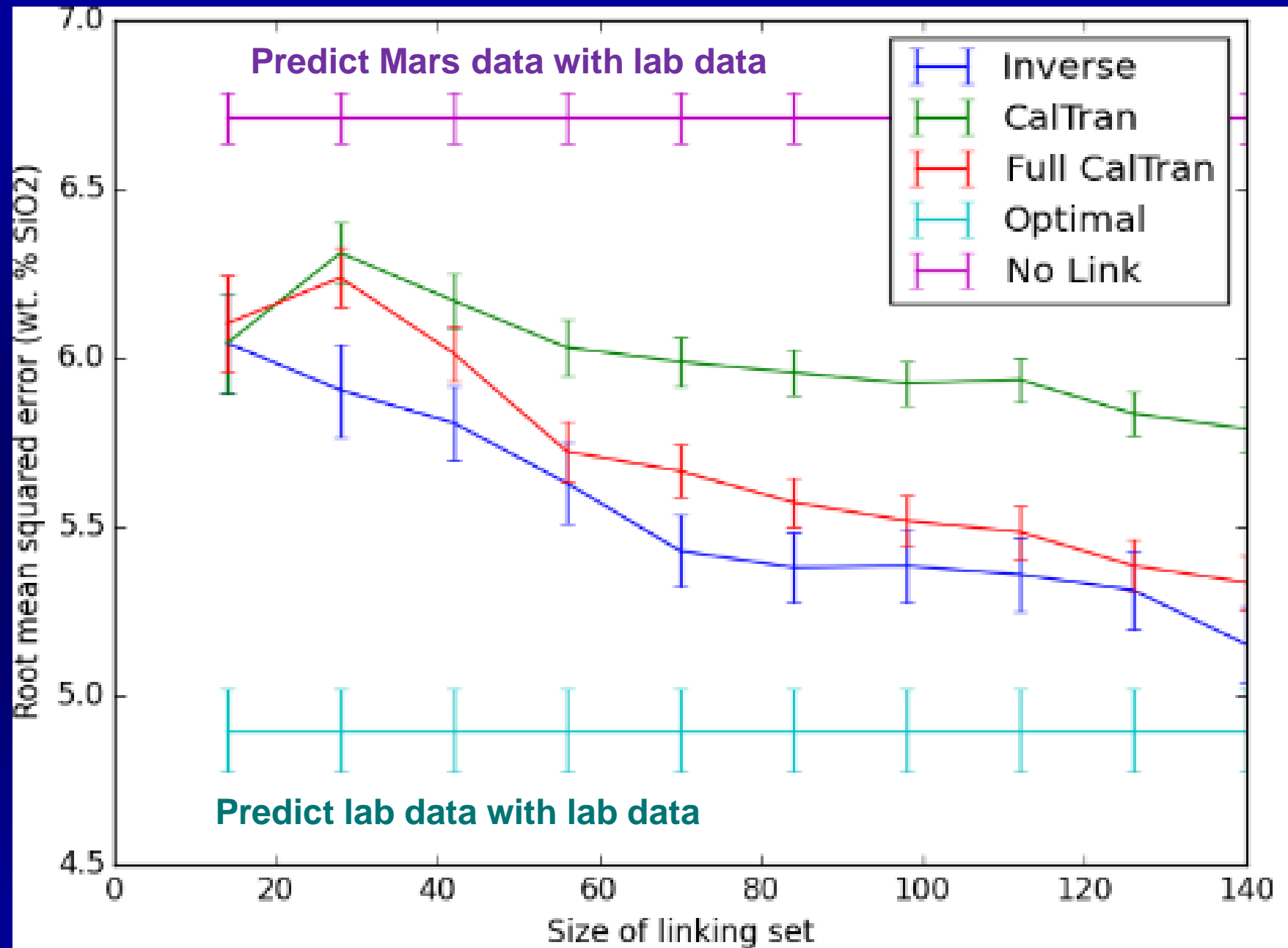
The baseline removal conundrum



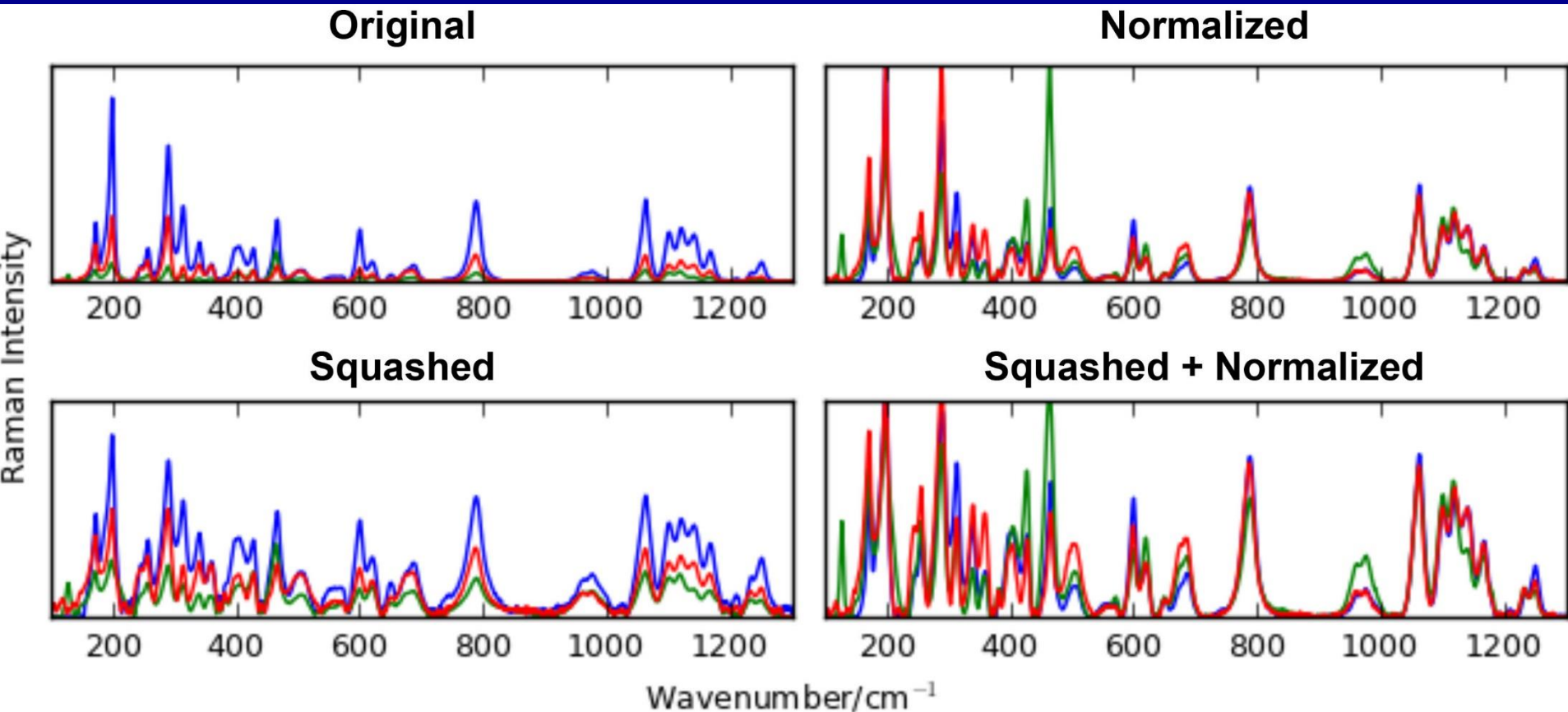
Species matching success for Raman spectroscopy comparing optimized baseline removal methods to no baseline removal (far left) and Custom BLR (far right) by taxonomic rank (Dana classification number).

Calibration Transfer



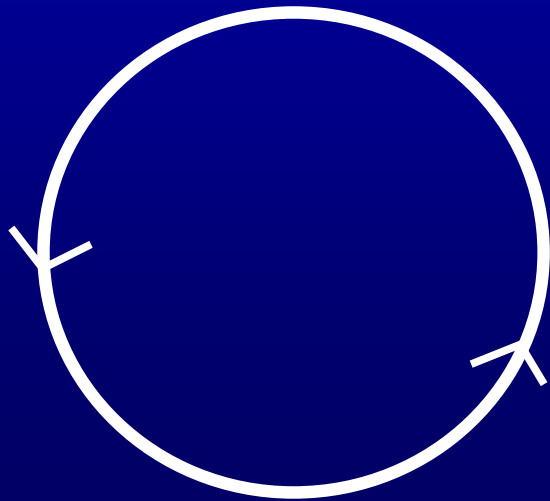


Visualization of Spectral Preprocessing Steps



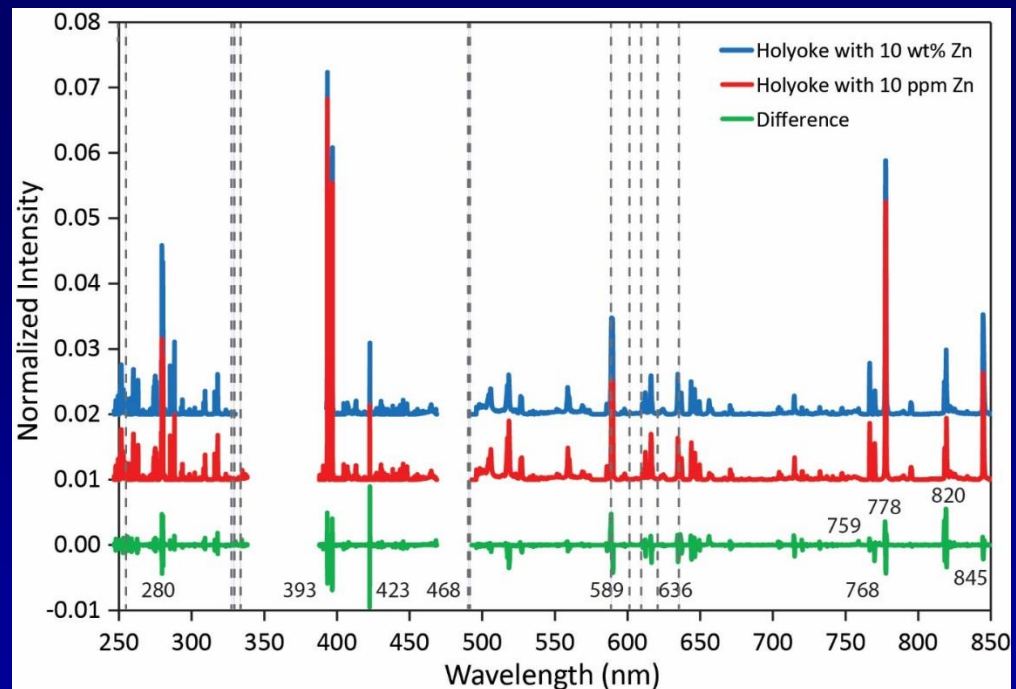
Raman Data

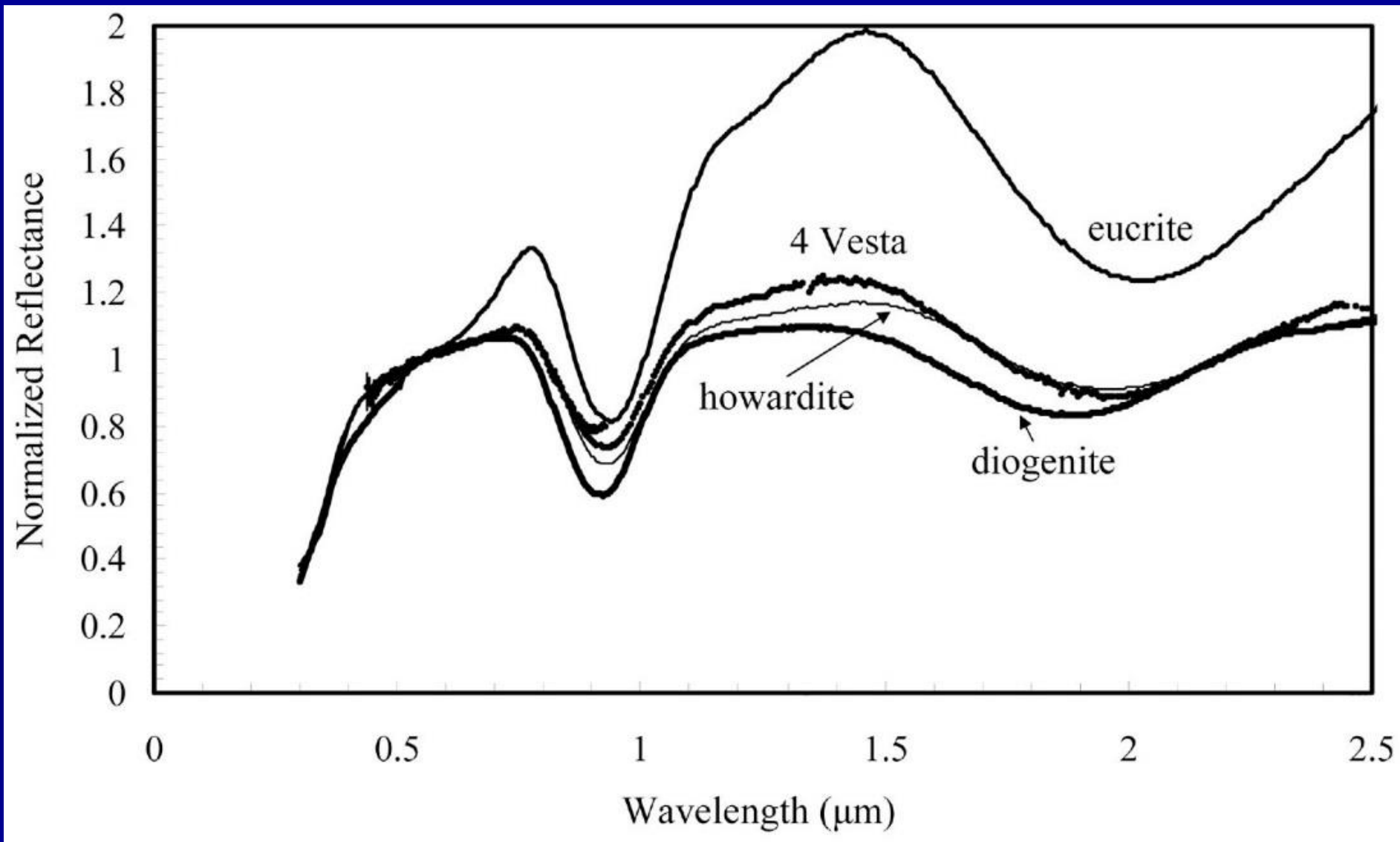
Protocols Based on Individual Peaks and Underlying Physical Principles



Insights from
Machine Learning

Machine Learning can
enable fundamental
Insights into spectra





Burbine et al. (2009)

Barriers to Using Machine Learning

1. Too **little overlap** between planetary and computer science communities
2. **Steep learning curve** to understand new methods
3. **Reluctance** to move on from fundamentals-based approaches
4. Inadequate and silo-ed **spectral databases**
5. **Ignorance** of instrumental differences



Benefits of Using Machine Learning

1. Utilize & evaluate all channels of spectral data using automated (objective) feature selection
2. Quantifiable error bars for conclusions based on spectral data
3. Improved instrument design for planetary exploration
4. Calibration transfer between data sets
5. Ability to integrate data from multiple types of spectroscopy in a single model
6. Gain new insights into fundamental physical processes



